

## MODELING THE NEAR-ULTRAVIOLET BAND OF GK STARS. III. DEPENDENCE ON ABUNDANCE PATTERN

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### ABSTRACT

We extend the grid of non-LTE (NLTE) models presented in Paper II to explore variations in abundance pattern in two ways: (1) the adoption of the Asplund et al. (GASS10) abundances, (2) for stars of metallicity,  $[M/H]$ , of  $-0.5$ , the adoption of a non-solar enhancement of  $\alpha$ -elements by  $+0.3$  dex. Moreover, our grid of synthetic spectral energy distributions (SEDs) is interpolated to a finer numerical resolution in both  $T_{\text{eff}}$  ( $\Delta T_{\text{eff}} = 25$  K) and  $\log g$  ( $\Delta \log g = 0.25$ ). We compare the values of  $T_{\text{eff}}$  and  $\log g$  inferred from fitting LTE and NLTE SEDs to observed SEDs throughout the entire visible band, and in an ad hoc “blue” band. We compare our spectrophotometrically derived  $T_{\text{eff}}$  values to a variety of  $T_{\text{eff}}$  calibrations, including more empirical ones, drawn from the literature. For stars of solar metallicity, we find that the adoption of the GASS10 abundances lowers the inferred  $T_{\text{eff}}$  value by 25–50 K for late-type giants, and NLTE models computed with the GASS10 abundances give  $T_{\text{eff}}$  results that are marginally in better agreement with other  $T_{\text{eff}}$  calibrations. For stars of  $[M/H] = -0.5$  there is marginal evidence that adoption of  $\alpha$ -enhancement further lowers the derived  $T_{\text{eff}}$  value by 50 K. Stellar parameters inferred from fitting NLTE models to SEDs are more dependent than LTE models on the wavelength region being fitted, and we find that the effect depends on how heavily line blanketed the fitting region is, whether the fitting region is to the blue of the Wien peak of the star’s SED, or both.

*Key words:* stars: atmospheres – stars: fundamental parameters – stars: late-type

### 1. INTRODUCTION

We have presented grids of LTE (Short & Hauschildt 2010, hereafter Paper I) and non-LTE (NLTE; Short et al. 2012, hereafter Paper II) atmospheric models and synthetic spectral energy distributions (SEDs) computed with PHOENIX (Hauschildt et al. 1999) for GK dwarfs and giants (luminosity class V and III) of solar and 1/3 solar metallicity ( $[M/H] = 0.0$  and  $-0.5$ ) and described a procedure for quantitatively comparing them to mean observed SEDs carefully selected from the large absolute spectrophotometric catalog of Burnashev (1985, henceforth B85). Our strongest conclusion from Paper II is that the adoption of NLTE for many opacity sources shifts the spectrophotometrically determined  $T_{\text{eff}}$  scale for giants downward by an amount,  $\Delta T_{\text{eff}}$ , in the range of about 30 to 90 K all across the mid-G to mid-K spectral class range, and across the  $[M/H]$  range from 0.0 to  $-0.5$ . This shift brings our spectrophotometrically derived  $T_{\text{eff}}$  scale for the solar metallicity G giants into closer agreement with the less model-dependent  $T_{\text{eff}}$  scale determined by the Infrared Flux Method (IRFM), although our  $T_{\text{eff}}$  values for these G giants are too large in any case. We also found tentative evidence on the basis of two spectral classes in the G range that this NLTE downward shift in the  $T_{\text{eff}}$  scale becomes smaller as luminosity class increases from III to V.

In Papers I and II we divided the observed SED into two spectral bands, designated “blue” and “red,” with a break-point at 4600 Å, which is the wavelength around where the SED apparently changes character qualitatively, from being relatively smooth ( $4600 < \lambda < 7500$ , “red”) to being more heavily affected by over-blanketing by spectral lines ( $3250 < \lambda < 4600$ , “blue”). The quality of the fit of both LTE and NLTE model SEDs to the red band was significantly better than that to the blue band. This is to be expected because the blue region is more heavily line blanketed and is expected to be more sensitive to, among other things, the distribution of the abundances of individual chemical elements. This region

is also relatively sensitive to inaccuracies and incompleteness in the input atomic transition parameters (oscillator strengths, damping parameters, etc.) and to the treatment of line formation and of the atmospheric models (e.g., 1D rather than 3D). However, somewhat surprisingly, fits to the red and blue bands yielded the same best fit  $T_{\text{eff}}$  values for many spectral classes.

In the present investigation we take the step of perturbing the abundance distribution of our model grid, by perturbing both the fiducial solar abundance distribution adopted and the choice of a solar or non-solar abundance distribution for the models of  $[M/H] = -0.5$ , and studying the effect upon the quality and model parameters of the best fits. We believe this is timely and justified as there have been important recent proposed revisions to the solar abundance distribution, as discussed in greater detail below (for example, compare Grevesse & Sauval 1998 to Asplund et al. 2009). A goal is to investigate the sensitivity of the spectrophotometric  $T_{\text{eff}}$  scale to the abundance pattern, and to compare it to the sensitivity to the thermodynamic treatment (LTE versus NLTE). This will become increasingly important as instruments that can produce calibrated spectrophotometry over broad wavelength ranges yield data for larger samples of late-type stars (e.g., VLT + XSHOOTER observations of red supergiants; Davies et al. 2013). Furthermore, accurate abundance measurements in late-type stars, particularly of refractory elements, have become especially important when comparing planet-hosting stars to the general stellar population (see, for example, Adibekyan et al. 2012a), and generally, the accuracy of abundance measurements depends on the accuracy of the  $T_{\text{eff}}$  determination (see, for example, Dobrovolskas et al. (2012), who find from an NLTE analysis that  $d[Ba/Fe]/dT_{\text{eff}} = -0.03$  dex/80 K).

### 2. OBSERVED $f_{\lambda}(\lambda)$ DISTRIBUTIONS

In Paper I we described in detail a procedure for vetting and combining observed SEDs selected from the catalog of absolutely calibrated, and uniformly re-calibrated,

spectrophotometry (SEDs) of B85, and we only briefly recapitulate here. To state it more starkly than we did in Paper I or II, B85 is the largest catalog of absolute stellar spectrophotometry that we are aware of ( $\sim 1500$  stars) and covers a broad enough  $\lambda$  range (conservatively, 3300–7500 Å) to allow evaluation of model SED fits to the heavily line-blanketed blue and near-UV spectral regions, given a fit to the relatively unblanketed red region. Short & Hauschildt (2009) contains a more detailed description of the individual data sources included in this compilation. We emphasize again here that this data set was uniformly *re*-calibrated, by Burnashev (B85), so it should be less affected by random star-to-star errors than the original data. Moreover, it contains large enough samples of stars of nominally identical spectral type (spectral class and luminosity class), as confirmed by cross-reference to other spectroscopic catalogs, and similar metallicity, as determined by cross-reference to the metallicity catalog of Cayrel de Strobel et al. (2001), that we can assess the variation, and thus the quality, of the SEDs and reject those that are suspect. The final results of our procedure are “sample mean” and  $\pm 1\sigma$  observed SEDs for each spectral type of each metallicity bin ( $\Delta[M/H] = \pm 0.1$ ) considered. Paper I contains details of how many SEDs were in each sample, and plots showing the typical variation among the observed SEDs, for illustrative spectral types. These mean observed SEDs are the “data product” to which we compare our model SEDs here.

### 3. MODEL GRID

#### 3.1. Atmospheric Structure and Synthetic SED Calculations

##### 3.1.1. Basic Grid

In Paper I we describe an LTE grid of spherical atmospheric models computed with PHOENIX for a star of mass  $1 M_{\odot}$ , and corresponding high resolution synthetic spectra, that spans the spectral class range from about G0 to K4 ( $6125 > T_{\text{eff}} > 4000$  K,  $\Delta T_{\text{eff}} = 125$  K) and luminosity class range from V to III ( $5.0 > \log g > 1.0$ ,  $\Delta \log g = 0.5$ ), and scaled solar metallicities,  $[M/H]$ , of 0.0 and  $-0.5$ , with a mixing length parameter,  $l$ , defined by the Böhm-Vitense treatment of convection of one pressure scale height. In the current investigation, we are concerned with the subset of the grid corresponding to giants ( $3.0 > \log g > 1.0$ ; the only metal-poor ( $[M/H] \approx -0.5$ ) GK stars in the B85 catalog are giants and supergiants, and the modeling of supergiants requires special additional considerations that are beyond the scope of this investigation). The choice of  $[M/H]$  values was guided by the availability of objects in the B85 catalog. Paper II describes the NLTE version of this grid, in which the lowest two ionization stages of 24 chemical elements up to Ni and thousands of atomic transitions ( $b - b$  and  $b - f$ ) are treated in self-consistent NLTE statistical equilibrium (SE), accounting simultaneously for NLTE radiative transfer in those radiative atomic transitions that are accounted for in the SE rate equations.

##### 3.1.2. Interpolated SED Grid

In Paper I, we described a finer grid of SEDs, with  $\Delta T_{\text{eff}} = 62.5$  K, derived from this basic grid by linear interpolation in the  $\log f_{\lambda}(T_{\text{eff}})$  relation. Here we have derived an even finer grid, with  $\Delta T_{\text{eff}} = 25$  K and  $\Delta \log g = 0.25$ , by quadratic interpolation in the  $\log f_{\lambda}(T_{\text{eff}})$  and linear interpolation in the  $f_{\lambda}(\log g)$  relations. The  $\log f_{\lambda}(T_{\text{eff}})$  interpolation was performed using two different methods to interpolate values in the interval  $[y_i, y_{i+1}]$ : (1) simple quadratic interpolation by fitting a parabola

to the three points  $y_{i-1}, y_i, y_{i+1}$ , and (2) least-squares quadratic interpolation by fitting a parabola to the four points  $y_{i-1}, y_i, y_{i+1}, y_{i+2}$ . The two methods generally gave interpolated  $\log f_{\lambda}$  values that were within 1% of each other, and were both found to yield an interpolated  $\log f_{\lambda}(T_{\text{eff}})$  function that was smooth and well behaved as determined by exhaustive visual inspection. Moreover, SEDs interpolated with both methods yielded the same best fit parameters to within the numerical precision of our grid when the  $\chi^2$  deviation from observed SEDs was minimized (see Section 4). The results presented here are based on the least-squares quadratic interpolation.

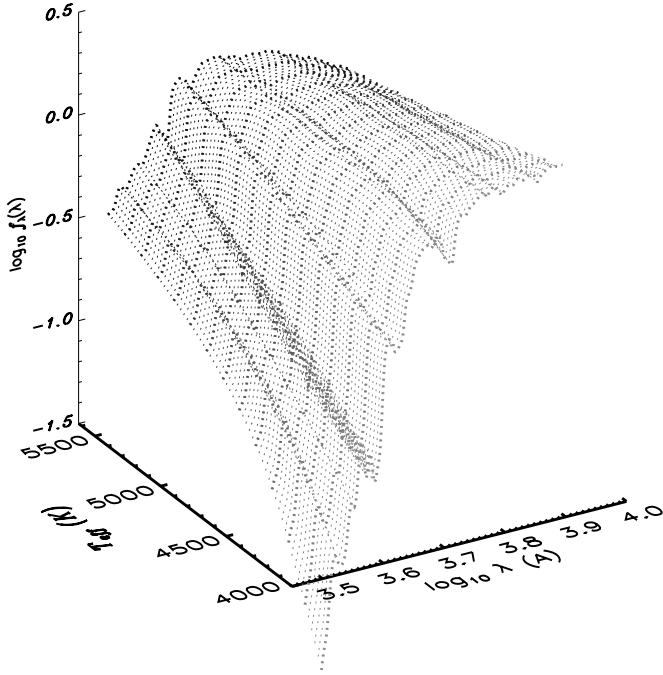
The choice of  $\Delta T_{\text{eff}} = 25$  K is consistent with the recent ground-breaking investigation of Huber et al. (2012), who found mean deviations of  $22 \pm 32$  K among values of  $T_{\text{eff}}$  derived with interferometric-asteroseismic, spectroscopic, and photometric techniques for stars of  $4600 < T_{\text{eff}} < 6200$  K, including red giants.

*Synthetic SEDs and microturbulence.* Synthetic SEDs suitable for comparison to the observed SEDs are prepared by convolution with a Gaussian kernel with an FWHM value of 75 Å, which was found to be the value, to within  $\pm 5$  Å, that produced synthetic SEDs most closely matching the appearance of the observed SEDs. The nominal sampling of the B85 catalog is 25 Å, but the instrumental profile is apparently unknown. In Papers I and II we presented a grid in which the microturbulent broadening parameter,  $\xi_T$ , increases from 2.0 to 4.0 km s $^{-1}$  as  $\log g$  decreases from 3.0 to 1.0. To remove variation of a physically ill-motivated variable from our grid, we have re-computed the atmospheric models and the synthetic spectra in this  $\log g$  range with  $\xi_T = 2.0$  km s $^{-1}$ . Our tests show that for SEDs broadened for the observed spectral resolution element,  $\Delta\lambda$ , of 75 Å, the difference between an SED computed with  $\xi_T = 2.0$  and 4.0 km s $^{-1}$  is completely negligible.

Finally, both the observed and smoothed synthetic spectra are put onto the same flux scale by whole-area normalization following the procedure described in Paper II. For illustrative purposes, Figure 1 shows a plot of the interpolated  $\log f_{\lambda}(\log \lambda, T_{\text{eff}})$  surface, broadened to match the spectral resolution of the data and normalized, as described above, for  $\log g = 2.0$  for NLTE models with the GS98 abundances. The coarser grid from which this finer grid was interpolated is also shown for reference. We show the broadened  $\log f_{\lambda}$  surface because the unbroadened surface is highly affected by spectral line blanketing, and is visually confusing.

#### 3.2. Abundances

*Solar distributions.* The grid presented in Papers I and II was computed using the solar abundances of Grevesse & Sauval (1998, henceforth GS98), and this distribution was simply scaled for the  $[M/H] = -0.5$  models. The GS98 distribution is based on a compilation and review of abundances derived largely from 1D LTE semi-empirical solar photosphere and spectrum modeling, checked against meteoritic abundances for relevant elements. These abundances, along with other similar distributions published by the same authors over the years, have been widely used. We have re-computed our  $[M/H] = 0.0$  grid with the recent abundances of Asplund et al. (2009, see also Grevesse et al. 2010, hereafter GASS10), which are based on recent 3D hydrodynamic solar photosphere and spectrum modeling, and which account for NLTE for some species, particularly C and O. The GASS10 distribution has lower abundances, by 0.1 dex or more, than that of GS98 for the important elements C, N, O, Ne, Na, S, and K. In particular, Na is

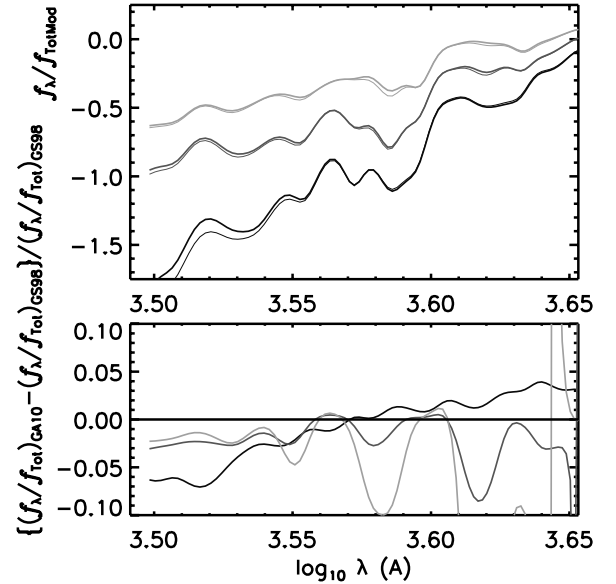


**Figure 1.** The normalized, smoothed (see text)  $\log f_\lambda(\log \lambda)$  surface as a function of  $\log \lambda$  and model  $T_{\text{eff}}$  for the fine grid of solar abundance NLTE models with the abundances of **GS98** and  $\log g = 2.0$  interpolated to  $\Delta T_{\text{eff}} = 25$  K, for illustrative purposes. The coarser basic grid among which the finer grid was interpolated ( $\Delta T_{\text{eff}} = 125$  K) is also shown (thicker lines).

among the most important  $e^-$  donors in the solar atmosphere. Given the scale of the computational task of re-computing  $\sim 500$  models and spectra, we have restricted ourselves to investigating the effects of only these two solar distributions, and take them as being representative of the recent variation in the reputable measured solar abundance distributions.

Figure 2 shows a comparison, with residuals, of the area-normalized, smoothed NLTE SEDs computed with the **GS98** and **GASS10** abundances for solar abundance models of  $\log g$  equal to 2.0 and  $T_{\text{eff}}$  equal to 4000, 4625, and 5250 K (i.e., spanning almost the entire  $T_{\text{eff}}$  range at a middle value of  $\log g$ ). (Note that the residuals vary with large amplitude in  $\lambda$  regions where the flux derivative  $df_\lambda/d\lambda$  of the SED is large.) The main effect of perturbing the abundance distribution is that the **GASS10** abundances lead to a larger blue and near-UV band flux as compared to that of the **GS98** abundances, while the two distributions yield similar red band fluxes. This effect becomes more pronounced as  $T_{\text{eff}}$  decreases. This is to be expected given that the **GASS10** distribution generally has lower abundances than the **GS98** distribution for many relatively abundant “light metals” that contribute to the line blanketing, including Ti, V (both  $\Delta[M/H] = -0.07$ ), Cr, and Ni (both  $\Delta[M/H] = -0.03$ ), thereby reducing the line extinction in the most heavily blanketed spectral region (the blue and near-UV), thus allowing more flux to escape there. This is essentially a reduction in the classical line-blanketing/backwarming effect in the **GASS10** models as compared to the **GS98** models. Therefore, we expect that  $T_{\text{eff}}$  values inferred from fitting **GASS10** models will be lower than those of **GS98** models to compensate for **GASS10** models having a “warmer” SED at a given model  $T_{\text{eff}}$  value.

*Metal-poor models.* For our grid of  $[M/H] = -0.5$  models, we investigate the effects of four different abundance distributions: simply scaled solar distributions adopting the **GS98** and

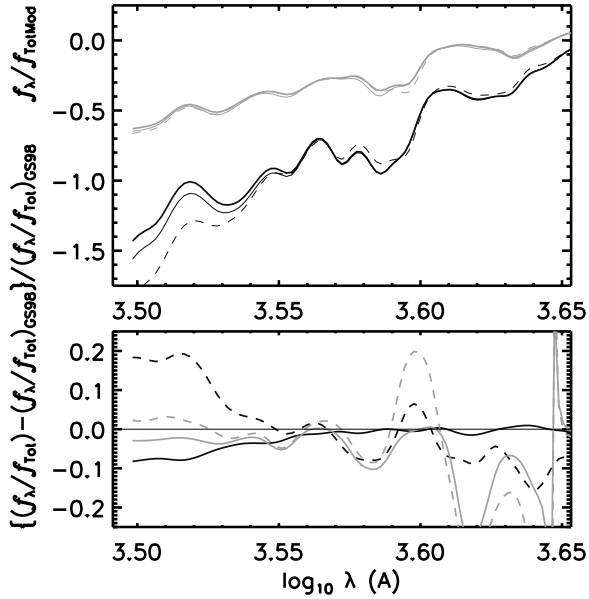


**Figure 2.** Comparison of normalized, smoothed (see text) SEDs for the 3200 to 4500 Å region computed with different abundance distributions for models of  $[M/H] = 0.0$  and  $\log g = 2.0$ . Thin lines: **GS98** models; thick lines: **GASS10** models; dark lines:  $T_{\text{eff}} = 4000$  K; intermediate lines:  $T_{\text{eff}} = 4625$  K; lightest lines:  $T_{\text{eff}} = 5250$  K. Upper panel: normalized SEDs (see text for description of normalization); lower panel: residuals (relative differences) with respect to the **GS98** models. Note that the residuals show large variation in regions where the flux derivative,  $df_\lambda/d\lambda$ , is large.

**GASS10** abundances, and  $\alpha$ -enhanced distributions in which the scaled distributions of **GS98** and **GASS10** are altered to a non-solar distribution by enhancing the abundance of eight  $\alpha$ -process elements (O, Ne, Mg, Si, S, Ar, Ca, and Ti) by 0.3 dex. We designate the latter two distributions **GS98- $\alpha$**  and **GASS10- $\alpha$** . Chemical abundance analyses of kinematically selected halo and thick disk stars in the solar neighborhood, including giants, have found that stars of  $[M/H] \leq -0.5$  can have  $\alpha$ -element abundances enhanced by as much as  $\sim 0.4$  dex (see Adibekyan et al. 2012a, 2012b; Ruchti et al. 2011; Fuhrmann 2011; Nissen & Schuster 2010, for examples based on large surveys and LTE analyses). Furthermore, Peterson et al. (1993) performed a detailed LTE spectrum synthesis analysis of the high resolution spectrum of the bright standard star Arcturus ( $\alpha$  Boo), of  $[M/H] \sim -0.5$ , and found  $\alpha$ -element abundances enhanced by  $\sim 0.3$  dex. Recently, Shi et al. (2012) and Takeda & Takada-Hidai (2012) have carried out NLTE analyses of the abundance of the  $\alpha$ -elements Si and S, respectively, and confirmed similar enrichment in moderately metal-poor stars. We have chosen an  $\alpha$ -enhancement at the high end of the range that has been found for moderately metal-poor solar neighborhood stars (+0.3 dex), as exemplified by Arcturus, and applied it to all elements of even atomic number from O to Ti, to deliberately maximize any effect on derived stellar parameters caused by  $\alpha$ -enhancement.

Figure 3 shows a comparison, with residuals, of NLTE SEDs computed with the **GS98** and **GASS10** abundances for metal-poor models at a  $\log g$  value of 2.0, and  $T_{\text{eff}}$  values of 4000 and 5000 K (similarly to Figure 2). The blue/near-UV brightening caused by adoption of the generally lower **GASS10** abundances is again evident, despite the reduced significance of line extinction in moderately metal-poor models. We expect the same reduction in the inferred best fit  $T_{\text{eff}}$  value as for the solar abundance case, but with reduced magnitude. For the **GS98** models, we also show the SED with and without  $\alpha$ -enhancement. The effect of  $\alpha$ -enhancement is to decrease





**Figure 3.** Same as Figure 2, except for models of  $[M/H] = -0.5$ , at  $T_{\text{eff}} = 4000$  K (dark lines) and  $5000$  K (light lines). For the **GS98** models, we also include SEDs computed with  $\alpha$ -enhancement (dashed lines). In the lower panel all residuals are with respect to the **GS98** models *without*  $\alpha$ -enhancement.

the blue/near-UV band flux with respect to the red band flux, yielding “cooler” SEDs for a given model  $T_{\text{eff}}$  value. We expect  $\alpha$ -enhancement to have the same effect as changing from the lower **GASS10** abundances to the higher **GS98** abundances in that it should lead to “cooler” SEDs for a given model  $T_{\text{eff}}$  and therefore a larger best-fit  $T_{\text{eff}}$  value.

#### 4. GOODNESS OF FIT STATISTICS

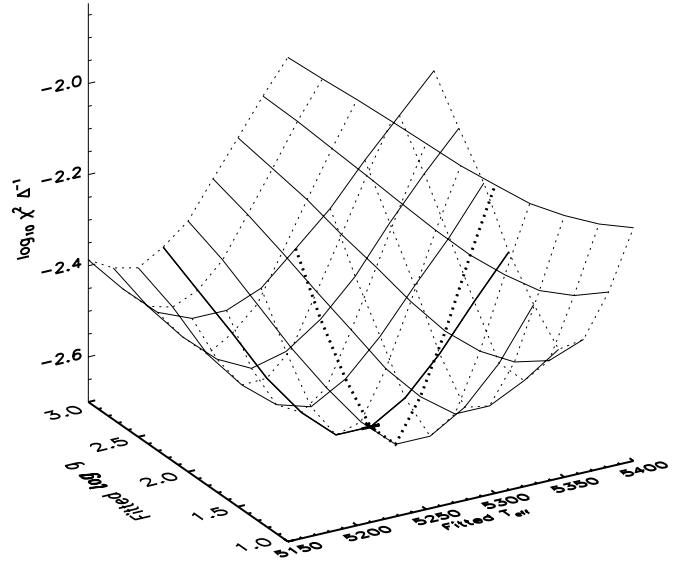
We minimize  $\chi^2$  for the difference between the observed SED and the trial synthetic SEDs (the “hypotheses”), relative to the observed SEDs (i.e.,  $(f_{\lambda,\text{Obs}} - f_{\lambda,\text{Mod}})/f_{\lambda,\text{Obs}}$ ) in each wavelength sampling element,  $\Delta\lambda$ . This is equivalent to adopting unity  $(f_{\lambda,\text{Obs}}/f_{\lambda,\text{Obs}})$  as the “observed” quantity, and  $(f_{\lambda,\text{Mod}}/f_{\lambda,\text{Obs}})$  as the trial quantity; i.e.,

$$\chi^2 \Delta\lambda^{-1} = \frac{1}{N} \sum_{\lambda} ((f_{\lambda,\text{Obs}} - f_{\lambda,\text{Mod}})/f_{\lambda,\text{Obs}})^2 / (f_{\lambda,\text{Mod}}/f_{\lambda,\text{Obs}}) \quad (1)$$

or, equivalently,

$$\chi^2 \Delta\lambda^{-1} = \frac{1}{N} \sum_{\lambda} (1.0 - f_{\lambda,\text{Mod}}/f_{\lambda,\text{Obs}}) / (f_{\lambda,\text{Mod}}/f_{\lambda,\text{Obs}}), \quad (2)$$

where  $N$  is the number of wavelength elements minus 1, which we take to be the number of degrees of freedom. The sampling interval,  $\Delta\lambda$ , is  $15 \text{ \AA}$ , which was chosen to slightly over-sample SEDs broadened to match a spectral resolution element of  $75 \text{ \AA}$  (see Section 3.1.2). For each trial model we compute three values of  $\chi^2 \Delta\lambda^{-1}$ , one each for our “blue” band ( $3250\text{--}4600 \text{ \AA}$ ), our “red” band ( $4600\text{--}7500 \text{ \AA}$ ), and the entire range, to study the quality of the fit to the over-blanketed blue region with respect to the red region. For fits to the overall spectrum, the minimum value of  $\chi^2 \Delta\lambda^{-1}$  ranged from 0.002 to 0.005, with the exception of the coolest, most line-blanketed sample, K3-4 III, for which the  $\chi^2 \Delta\lambda^{-1}$  minimum was  $\approx 0.01$ . These values are also characteristic of the fit to the “blue” band alone, indicating



**Figure 4.** The  $\log \chi^2 \Delta\lambda^{-1}$  surface as a function of trial  $T_{\text{eff}}$  and  $\log g$  values in the vicinity of the  $\chi^2$  minimum for the G5 III/ $[M/H] = 0.0$  sample and NLTE models of **GS98** abundances. Loci of constant  $T_{\text{eff}}$  and  $\log g$  are shown with solid and dotted lines, respectively, and the model  $T_{\text{eff}}/\log g$  pair of minimal  $\chi^2$  value is marked with a “+” symbol. The loci of constant  $T_{\text{eff}}$  and  $\log g$  corresponding to the best fit  $\log g$  and  $T_{\text{eff}}$  values, respectively, are plotted with thicker lines. We plot  $\log \chi^2$  to enhance the weaker variation of  $\chi^2$  with model  $\log g$  as compared to its variation with  $T_{\text{eff}}$ .

that the quality of the fit to the more heavily line-blanketed blue band dominates the quality of the global fit.

**Paper II** contains a detailed comparison of the stellar parameters derived from fitting NLTE model SEDs as compared to LTE SEDs, and we do not repeat that discussion here, except to note that the main result was that NLTE  $T_{\text{eff}}$  values derived from fitting the overall visible band were found to be systematically lower than LTE values by one numerical resolution element,  $\Delta T_{\text{eff}}$ , in the coarser SED grid of **Paper I** (nominally  $62.5 \text{ K}$ ). With the finer numerical resolution of our current SED grid, we find that this  $T_{\text{eff}}$  difference for the **GS98** models generally varies from  $50$  to  $75 \text{ K}$  (in the new grid, this amounts to two to three numerical resolution elements,  $\Delta T_{\text{eff}}$ ). This is a systematic offset between the NLTE and LTE SED-derived  $T_{\text{eff}}$  scales that we are now numerically resolving, whereas in **Paper II** it was only marginally resolved.

Figures 4 and 5 show the logarithm of the  $\chi^2 \Delta\lambda^{-1}$  value as a 2D surface plotted versus model  $T_{\text{eff}}$  and  $\log g$  in the vicinity of the  $\chi^2$  minimum for the G5 III and K3-4 III samples of  $[M/H] = 0.0$ , respectively, for NLTE models with the **GS98** abundances. We have chosen a logarithmic scale to enhance the relatively weak variation of  $\chi^2$  with  $\log g$  with respect to its variation with  $T_{\text{eff}}$ . Visual inspection of such plots for all our spectral class samples assures us that the  $\chi^2$  minimum is global and well defined as a function of  $T_{\text{eff}}$ . The minimum is less well-defined as a function of  $\log g$ , and in every case we have checked for local degeneracy in  $T_{\text{eff}}/\log g$  around the  $\chi^2$  minimum (see the discussion of the K1 III/ $[M/H] = 0.0$  sample in this section).

Table 1 shows the best fit  $T_{\text{eff}}$  and  $\log g$  values for the models of  $[M/H] = 0.0$  for the **GS98** and **GASS10** abundance distributions in both LTE and NLTE. Figures 6 and 7 show the variation of  $\chi^2 \Delta\lambda^{-1}$  with NLTE model  $T_{\text{eff}}$  and  $\log g$ , respectively, for the stars of solar  $[M/H]$  value for the models of **GS98** and **GASS10** abundance distributions, and Figure 8 shows

**Table 1**  
Best Fit Parameters ( $T_{\text{eff}}/\log g$ ) for Total and “Blue”-band SEDs: Models of  $[M/H] = 0.0$

SED	LTE				NLTE			
	GS98		GASS10		GS98		GASS10	
	Total	Blue	Total	Blue	Total	Blue	Total	Blue
G5	5350/2.25	5375/2.25	5325/2.25	5325/2.25	5300/2.25	5325/2.00	5250/2.25	5275/2.25
G8	5150/2.00	5150/2.00	5100/2.25	5075/2.25	5075/2.00	5075/2.00	5025/2.00	5025/2.00
K0	4900/1.50	4900/1.50	4850/1.75	4850/1.75	4825/1.50	4825/1.50	4800/1.50	4800/1.50
K1	4625/2.50	4575/3.00	4675/2.00	4700/1.75	4550/2.50	4625/2.00	4600/2.00	4675/1.50
K2	4575/1.75	4575/1.75	4525/2.00	4525/2.00	4475/2.00	4475/2.00	4450/2.00	4450/2.00
K3-4	4250/1.75	4250/1.75	4175/2.00	4175/2.00	4200/1.75	4200/1.75	4150/1.75	4125/2.00

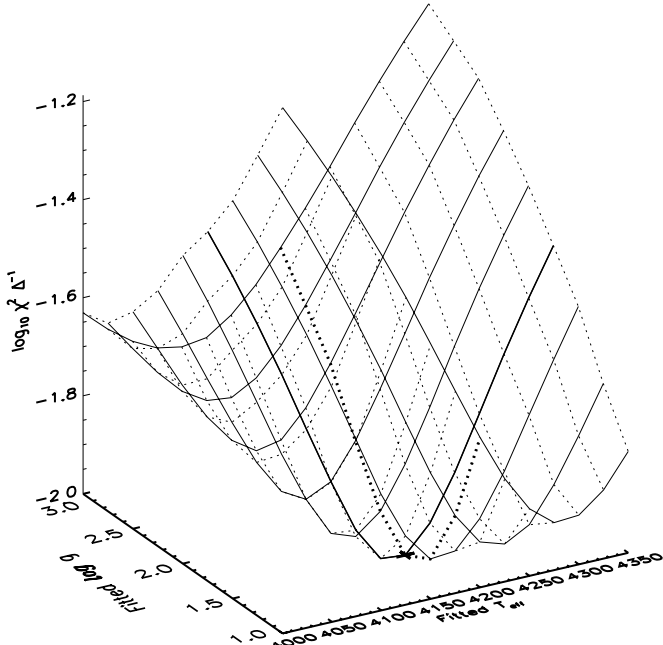


Figure 5. The same as Figure 4 but for the K3-4 III/ $[M/H] = 0.0$  sample.

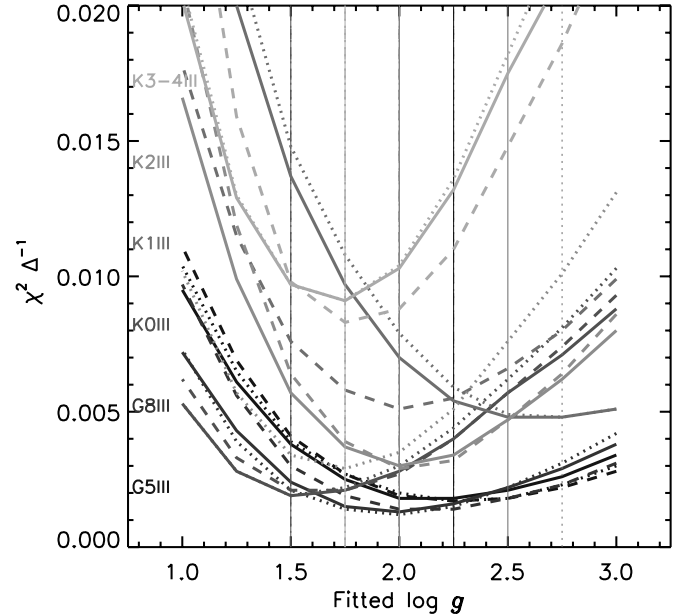


Figure 7. Same as Figure 6, but showing  $\chi^2 \Delta \lambda^{-1}$  as a function of  $\log g$ .

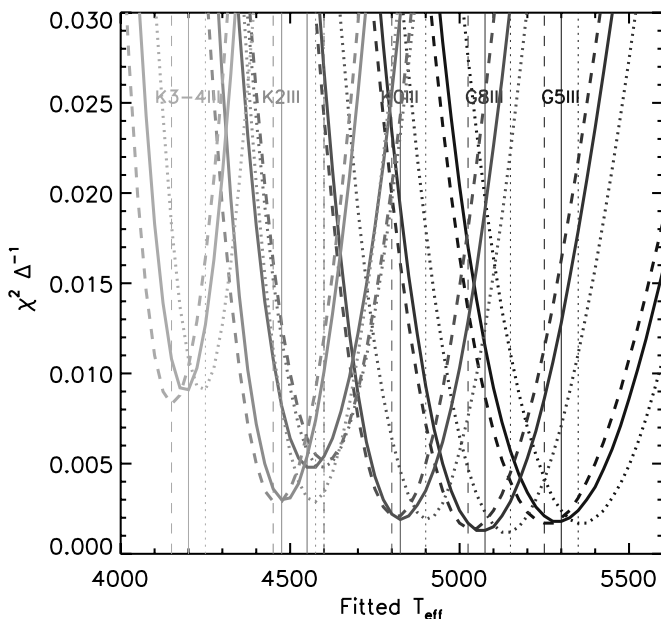
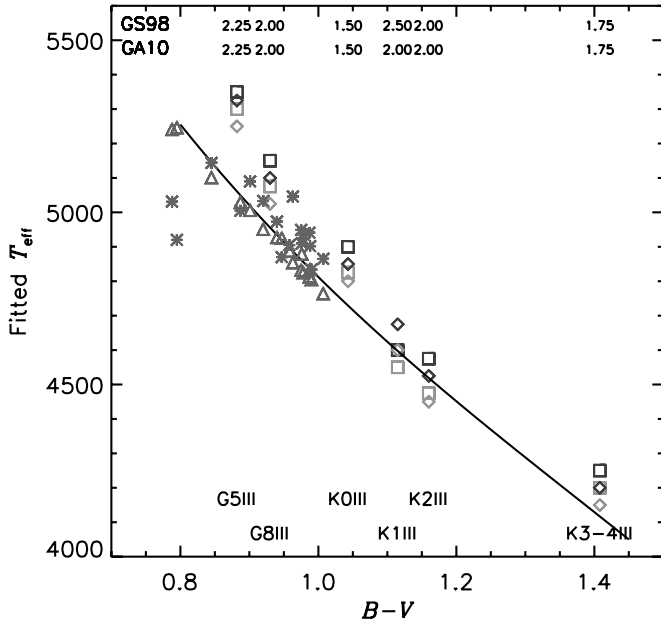


Figure 6. The  $\chi^2 \Delta \lambda^{-1}$  value as a function of trial  $T_{\text{eff}}$  value for stars of  $[M/H] = 0.0$ . We show  $\chi^2$  for NLTE models with the **GS98** and **GASS10** abundances (solid and dashed lines, respectively). The results for LTE models with the **GS98** abundances are also shown for comparison (dotted line).

the best fit NLTE  $T_{\text{eff}}$  value as a function of  $B - V$  color for these stars. Generally, the NLTE **GASS10** abundances pervasively yield best fit  $T_{\text{eff}}$  values that are 25 to 50 K (one or two  $\Delta T_{\text{eff}}$  resolution elements) cooler than the older **GS98** abundances, while yielding the same best fit  $\log g$  values, a difference that we are marginally resolving. We conclude that there is evidence that adoption of the **GASS10** abundances leads to best fit NLTE  $T_{\text{eff}}$  values for the blue band and total SEDs that are 25 to 50 K cooler as compared to those with the **GS98** abundances. This is consistent with what we expect from the comparison of **GASS10** and **GS98** SEDs discussed in Section 3.2. Generally, the two abundance distributions yield the same NLTE best fit  $\log g$  value to within the  $\Delta \log g$  grid resolution of 0.25.

The one exception is the K1 III sample, for which the **GASS10** models yield a  $T_{\text{eff}}$  value that is 50 K warmer, while yielding a  $\log g$  value that is 0.5 smaller (two  $\Delta \log g$  resolution elements). We note that the best fit  $\log g$  value of the **GS98** models (2.50) is higher than those of the other spectral classes, for either abundance distribution, and that the value of  $\chi^2$  for the  $T_{\text{eff}}/\log g$  pair 4600/2.0 is identical to three digits after the decimal with that for the 4550/2.5 pair. If the  $\log g$  value is constrained to be 2.0 (**GASS10** best fit model value) then the NLTE **GS98** models yield a  $T_{\text{eff}}$  value of 4600 K, in closer agreement with the **GS98** versus **GASS10** behavior of the other spectral classes.

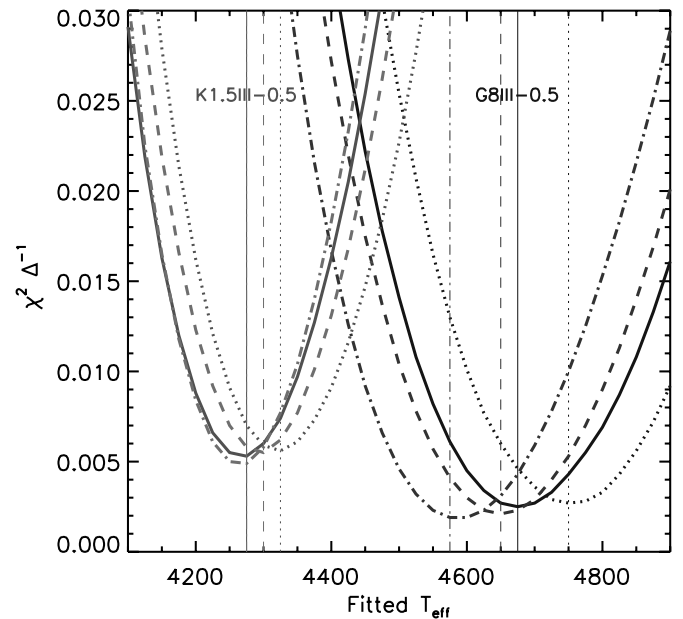


**Figure 8.** Best fit  $T_{\text{eff}}$  values for stars of  $[M/H] = 0.0$  from this investigation for NLTE (light gray) and LTE (dark gray) models with the **GS98** (squares) and **GASS10** (diamonds) solar abundances. For comparison we show the results of other investigations of the  $T_{\text{eff}}(B - V)$  calibration: the IRFM calibration of Ramirez & Melendez (2005, solid black line) and values from Wang et al. (2011) derived from  $B - V$  photometry (triangles) and from Fe I/Fe II ionization equilibrium (asterisks). Our spectral classes have been assigned corresponding  $B - V$  values following the procedure described in Paper I to enable comparison with the other studies. The annotation shows the best fit  $\log g$  values derived from each solar abundance distribution (“GA10” indicated **GASS10** abundances).

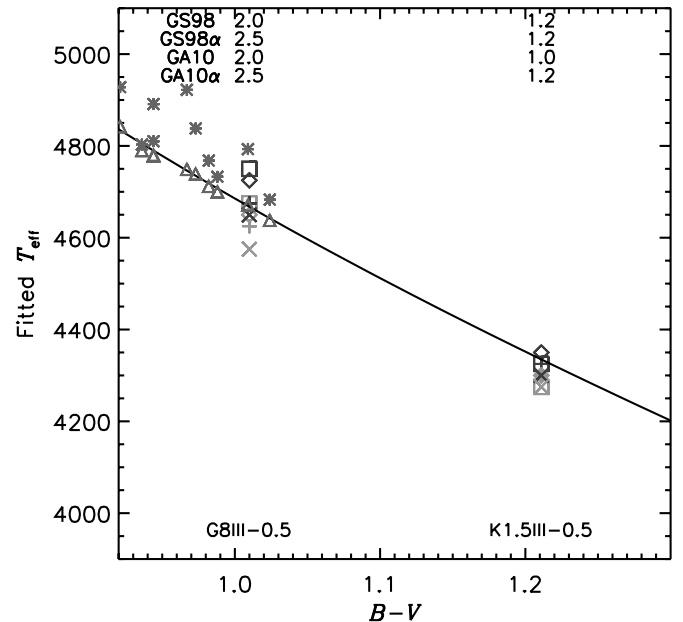
From Figure 8, which also includes best fit LTE  $T_{\text{eff}}$  values, we note that the effect of NLTE on derived  $T_{\text{eff}}$  values compared to LTE is about the same for both the **GASS10** and **GS98** abundance distributions, namely a reduction of 50 to 75 K. This is to be expected because the **GS98** and **GASS10** distributions have identical values for the Fe abundance, and a brightening of the SED in the blue band with respect to the red band caused by NLTE over-ionization of Fe I is the dominant NLTE effect in late-type stars (see Rutten 1986; Short & Hauschildt 2010).

$[M/H] = -0.5$ . Table 2 shows the best fit  $T_{\text{eff}}$  and  $\log g$  values for the models of  $[M/H] = -0.5$  for all four relevant abundance distributions in both LTE and NLTE. Figure 9 shows the variation of  $\chi^2 \Delta \lambda^{-1}$  with NLTE model  $T_{\text{eff}}$  for the stars of  $[M/H]$  equal to  $-0.5$  for models of scaled **GS98** and **GASS10** distributions and the **GS98- $\alpha$**  and **GASS10- $\alpha$**  distributions, and Figure 10 shows the best fit  $T_{\text{eff}}$  values as a function of  $B - V$  for both LTE and NLTE modeling. For the G8 sample, adoption of the **GASS10** abundances decreases the derived  $T_{\text{eff}}$  value by 25 K, similarly to its effect on the  $[M/H] = 0.0$  models, whereas for the K1.5 III sample, the **GASS10** abundances *increase* the  $T_{\text{eff}}$  value by 25 K.

*$\alpha$  enhancement.* More clearly, for the G8 sample, the effect of  $\alpha$  enhancement is consistently to further lower the inferred  $T_{\text{eff}}$  value, by 50 K for both the **GS98** and **GASS10** abundances. By contrast, the effect of  $\alpha$  enhancement on the K1.5 III sample is less clear; for the **GS98** models it increases the derived  $T_{\text{eff}}$  value by 25 K, whereas its effect on the **GASS10** models is to *lower* the  $T_{\text{eff}}$  value by 25 K. Given that one numerical resolution element,  $\Delta T_{\text{eff}}$ , is 25 K, we conclude that for stars of  $[M/H] = -0.5$ , we are not resolving a clear trend for either the effect of choice of solar abundance distribution, or of  $\alpha$  enhancement,



**Figure 9.** Same as Figure 6 but for stars of  $[M/H] = -0.5$ . We have included results for NLTE models with the  $\alpha$ -enhanced **GASS10- $\alpha$**  abundances (dot-dashed lines). For clarity, we have omitted the NLTE models with **GS98- $\alpha$**  abundances, however, the complete results in Table 1 show that the effect of  $\alpha$ -enhancement is similar for the **GS98** and **GASS10** models. As with Figures 6 and 7, we include the LTE models with **GS98** abundances for comparison.



**Figure 10.** Same as Figure 8, but for stars of  $[M/H] = -0.5$ . Results derived from the **GS98- $\alpha$**  and **GASS10- $\alpha$**  models are denoted with plus signs (“+”) and “x” symbols, respectively. The results of Ramirez & Melendez (2005) and Wang et al. (2011) are those for the corresponding metallicity.

with the possible exception of the effect of the latter on the derived  $T_{\text{eff}}$  values of G8 III stars.

The choice of solar abundance distribution has a negligible effect on the derived  $\log g$  values. However, there is evidence that  $\alpha$ -enhanced models lead to derived  $\log g$  values that are 0.25 to 0.5 (one to two  $\Delta \log g$  resolution elements) larger than those of scaled solar models, regardless of choice of solar abundance distribution.

**Table 2**  
Same as Table 1, but for Total SED Fits Only: Models of  $[M/H] = -0.05$

	LTE				NLTE			
	GS98		GASS10		GS98		GASS10	
	Solar	$\alpha+$	Solar	$\alpha+$	Solar	$\alpha+$	Solar	$\alpha+$
G8	4750/2.00	4675/2.50	4725/2.00	4650/2.50	4675/2.00	4625/2.50	4650/2.00	4600/2.25
K1.5	4275/1.50	4325/1.25	4350/1.00	4300/1.25	4275/1.25	4300/1.25	4300/1.00	4275/1.25

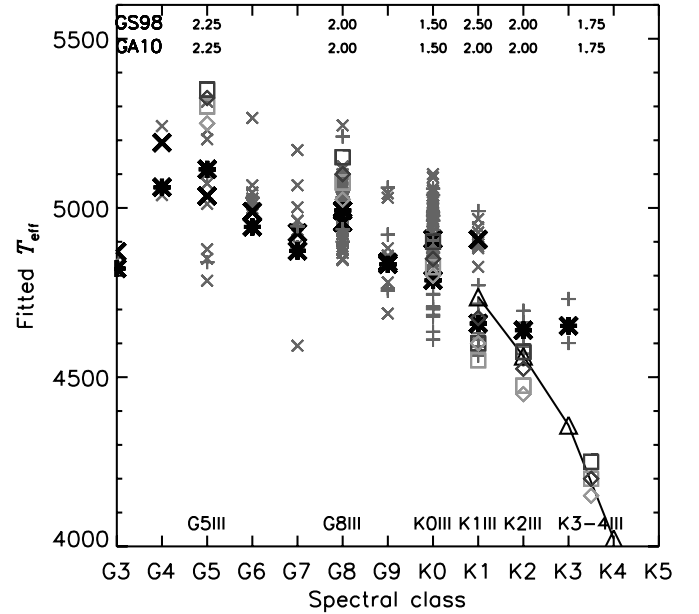
As with the models of  $[M/H] = 0.0$ , the NLTE reduction of the  $T_{\text{eff}}$  scale is independent of choice of solar abundance distribution, although we note that the effect is more pronounced for the G8 III sample (75 K for three of the four abundance distributions) than it is for the K1.5 III sample (25 K for three of the four abundance distributions, and never more than 50 K).

*Blue band.* Fitting the “blue” band with LTE **GS98** and **GASS10** models results in best fit  $T_{\text{eff}}$  and  $\log g$  values that are generally the same as those from fitting the overall visible band, with occasional deviations by one numerical resolution element (25 K and 0.25, respectively) higher or lower. The “blue” band and the overall visible band yield the same stellar parameters to within our ability to resolve differences. This is not surprising because the heavily line-blanketed “blue” band is most sensitive to  $T_{\text{eff}}$ , and it thus dominates the quality of the global fit. For the NLTE models, **GS98** and **GASS10** models fit to the “blue” band yield best fit  $T_{\text{eff}}$  values that are larger by 25 to 75 K (one to four resolution elements) than those fit to the overall visible band for two of the six spectral class samples (G5 and K1). The larger “blue” band  $T_{\text{eff}}$  value tends to be accompanied by a best fit  $\log g$  value that is lower by 0.25 to 0.5. This result applies to samples of both metallicities. We conclude that stellar parameters inferred from fitting NLTE models to SEDs may be more dependent than LTE models on the wavelength region being fitted, and find that the effect depends on how heavily line blanketed the fitting region is, whether the fitting region is to the blue of the Wien peak of the star’s SED, or both.

## 5. COMPARISON TO OTHER $T_{\text{eff}}$ CALIBRATIONS

Figures 8 and 11 show the comparison of our derived  $T_{\text{eff}}$  values with those of other investigators for the solar metallicity stars. Papers I and II contain a review of the recent  $T_{\text{eff}}$  calibrations in the literature, based on a variety of complementary techniques, to which we compare our results. To recapitulate briefly, Ramirez & Melendez (2005) present a  $T_{\text{eff}}(B - V)$  calibration for a wide range of stars determined with the IRFM, Wang et al. (2011) present  $T_{\text{eff}}$  values for G giants determined from both  $B - V$  and Fe I/II ionization equilibrium along with  $B - V$  values, Baines et al. (2010) present  $T_{\text{eff}}$  values for select K giants determined from optical interferometry along with MK spectral classes, Takeda et al. (2008) present  $T_{\text{eff}}$  values for G giants determined from modeling Fe I and II lines for stars of given spectral class, and Mishenina et al. (2006) present  $T_{\text{eff}}$  values for G giants determined from line depth ratios for stars of given spectral class. We note that Paper II contains a thorough investigation of how well our NLTE  $T_{\text{eff}}$  values compare to the results of other studies with respect to our LTE  $T_{\text{eff}}$  values. Although we include the LTE results again here in Figures 8 and 11 for interest, we do not repeat the discussion of NLTE versus LTE.

To facilitate the comparison to the results of Ramirez & Melendez (2005) and Wang et al. (2011) in Figure 8, we



**Figure 11.** Same as Figure 8, but with a comparison to the results of other investigations of the  $T_{\text{eff}}$  (spectral class) calibration: interferometric results of Baines et al. (2010, triangles), results of Takeda et al. (2008) from Fe I/Fe II ionization equilibrium ( $\times$ 's), and of Mishenina et al. (2006) from line depth ratios (asterisks). For the results of Takeda et al. (2008) and Mishenina et al. (2006) we also show the mean  $T_{\text{eff}}$  value for each spectral class (large, dark  $\times$ 's and asterisks, respectively).

determine the mean observed  $B - V$  color of our spectral class samples using the catalog of Mermilliod et al. (1997) following the procedure described in Paper I. In Figure 8, among the NLTE models, for every class except K2 III, NLTE models with the **GASS10** abundance distribution yield  $T_{\text{eff}}$  values that are closest to, or are among the closest to, the values determined by Ramirez & Melendez (2005) and Wang et al. (2011).

From Figure 11, among the NLTE models, for two of our three spectral classes that overlap with the interferometric results of Baines et al. (2010), at the cool end of our spectral class range, the **GS98** abundances lead to NLTE  $T_{\text{eff}}$  values that are in better agreement with that study. For the G5 stars, at the other end of our range, the NLTE **GASS10** abundances lead to  $T_{\text{eff}}$  values that are closer to the G giant results of Takeda et al. (2008) and Mishenina et al. (2006), although any conclusion is weakened because *all* our  $T_{\text{eff}}$  values are generally too high compared to other results at the warm end of our  $T_{\text{eff}}$  range.

From Figure 10 we can see that for the K1.5 sample, which consists entirely of multiple independent observations of Arcturus ( $\alpha$  Boo, see Paper I), among the NLTE models, the **GASS10- $\alpha$**  and **GS98- $\alpha$**  distributions give a  $T_{\text{eff}}$  value that is closest to the IRFM calibration of Ramirez & Melendez (2005). That the **GASS10- $\alpha$**  distribution yields a more discrepant



$T_{\text{eff}}$  value is noteworthy in that  $\alpha$  Boo has been found to be  $\alpha$ -enhanced (see, for example, Peterson et al. 1993).

## 6. CONCLUSIONS

As expected, for solar metallicity stars, we find that the effect of NLTE on spectrophotometrically derived  $T_{\text{eff}}$  values compared to LTE is about the same for both the GASS10 and GS98 abundance distributions, namely a reduction of 50 to 75 K. As with the models of  $[M/H] = 0.0$ , for the metal-poor stars the NLTE reduction of the  $T_{\text{eff}}$  scale is independent of choice of solar abundance distribution, although we note that the effect is more pronounced for the G8 III sample (75 K for three of the four abundance distributions) than it is for the K1.5 III sample (25 K for three of the four abundance distributions, and never more than 50 K).

For solar metallicity stars, we conclude that there is evidence that adoption of the GASS10 abundances lowers the inferred NLTE spectrophotometric  $T_{\text{eff}}$  scale by 25 to 50 K as compared to the GS98 abundances. Generally, the two abundance distributions yield the same NLTE best fit  $\log g$  value to within the  $\Delta \log g$  grid resolution of 0.25. For solar metallicity stars, NLTE models computed with the GASS10 abundances give, on balance,  $T_{\text{eff}}$  results that are marginally in better agreement with other  $T_{\text{eff}}$  calibrations in the literature determined by a variety of means.

We conclude that for stars of  $[M/H] = -0.5$ , we are not resolving a clear trend for the effect of choice of solar abundance distribution on the  $T_{\text{eff}}$  value inferred from the SED. There is marginal evidence, based entirely on the G8 III sample, that the adoption of  $\alpha$ -enhancement leads to a reduction of 50 K in the NLTE SED-fitted  $T_{\text{eff}}$  value. The choice of solar abundance distribution has a negligible effect on the derived  $\log g$  values. However, there is evidence that  $\alpha$ -enhanced models lead to derived  $\log g$  values that are 0.25 to 0.5 (one to two  $\Delta \log g$  resolution elements) larger than those of scaled solar models, regardless of choice of solar abundance distribution. With only two spectral classes, it is difficult to draw conclusions about which abundance distribution better fits other  $T_{\text{eff}}$  calibrations.

We conclude that stellar parameters inferred from fitting NLTE models to SEDs are more dependent than LTE models on the wavelength region being fitted, and find that the effect depends on how heavily line blanketed the fitting region is,

whether the fitting region is to the blue of the Wien peak of the star's SED, or both.

We will make both the library of observed SEDs and the NLTE (and corresponding LTE) grid of model SEDs with all abundance distributions available to the community by ftp (<http://www.ap.smu.ca/~ishort/PHOENIX>).

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